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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/612,136

Filing Date 07/07/2000

First Named Inventor Tohru Nagano, et al.

Group Art Unit 2697

Examiner Name Lowe, T.

Total Number of Pages in This Submission 11

Attorney Docket Number JP999-118X

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☒ Amendment / Reply
- ☒ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Supplemental Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Associate Power of Attorney

Remarks

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Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Mark C. McCabe

Reg. # 53,291

Signature

Mark C. McCabe

Date

2/27/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

02/27/2004

Typed or printed name

Cheryl G. Ruby

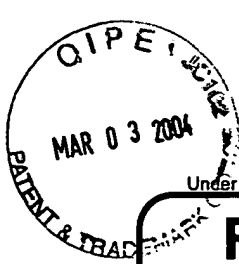
Signature

Cheryl G. Ruby

Date

02/27/2004

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 122.00

Complete if Known

Application Number	09/612,136
Filing Date	07/07/2000
First Named Inventor	Tohru Nagano, et al.
Examiner Name	Lowe, T.
Group Art Unit	2697
Attorney Docket No.	JP999-118X

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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

09-0441

Deposit
Account
Name

IBM CORPORATION

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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101	740	201	370	Utility filing fee
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106	330	206	165	Design filing fee
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107	510	207	255	Plant filing fee
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108	740	208	370	Reissue filing fee
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114	160	214	80	Provisional filing fee
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Fee Paid

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20** = 2	18.00	36.00
4	-3** = 1	86.00	86.00

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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103	18	203	9	Claims in excess of 20
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102	84	202	42	Independent claims in excess of 3
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104	280	204	140	Multiple dependent claim, if not paid
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109	84	209	42	** Reissue independent claims over original patent
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110	18	210	9	** Reissue claims in excess of 20 and over original patent
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SUBTOTAL (2) (\$ 122.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

SUBMITTED BY

Name (Print/Type)	Mark C. McCabe	Registration No. (Attorney/Agent)	53291	Telephone	408-927-3380
Signature				Date	2/27/04

Complete (if applicable)

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11913
23
5/1/04

Attorney Docket No.: JA999-118X
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Tohru Nagano, et al.

Serial No. 09/612,136

Filing Date: July 7, 2000

For: "METHOD AND SYSTEM TO
ANALYZE DATA"

Examiner: Lowe, T.

Art Unit: 2697

Do not Enter ✓
Date 3/19/04 Initial PE

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Commissioner for Patents
Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. 1.116

Sir:

In response to the Office Action mailed July 7, 2003 please enter the
following amendments.

IN THE CLAIMS:

Please cancel claims 1-6. Please add new claims 7-28 as follows:

- BI
1. cancel
 2. cancel
 3. cancel
 4. cancel

JA999-118X

03/05/2004 HUUONG1 00000022 090441 09612136
01 FC:1202 36.00 DA
02 FC:1201 86.00 DA